



Instructions: Read each question and write the letter of the correct choice on the Attestation & Answer sheet. A score of 80% or higher is required to receive credit for this training (12 correct answers).

1. Compliance is the responsibility of:
 - a) Leadership
 - b) Compliance Officer
 - c) All Employees
 - d) Compliance Board
2. What are the Key Goals of the SCCCMH Compliance Program?
 - a) Detect misconduct and illegal activity
 - b) Prevent fraud, abuse, and waste
 - c) Spread law-abiding values to do the right thing
 - d) All of the above
3. After reporting a compliance issue to the compliance office, it is your responsibility to document the report:
 - a) True
 - b) False
4. Which of the following are actual or potential Conflicts of Interest that should be reported to your supervisor or HR?
 - a) You accepted paid outside employment at a contracted provider entity, but is only part-time and will not interfere with your normal work schedule for your entity.
 - b) Your spouse works for a vendor that is seeking to contract with your entity.
 - c) Your job includes referring individuals to providers and your daughter works at a provider organization.
 - d) You are completing a Master's Degree Program and are performing your internship at a contracted provider entity.
 - e) All of the above
5. It is acceptable to offer to pay someone to make referrals to your agency, or accept payments for making a referral to another agency.
 - a) True
 - b) False
6. It is okay to place an employee on a corrective action plan because they reported suspected fraud occurring within the agency in good faith.
 - a) True
 - b) False
7. Using federal funds to pay the salary and benefits of a person who is barred from participating in Medicare and Medicaid is an acceptable practice.
 - a) True
 - b) False
8. When a provider identifies receiving an overpayment, it is required to be repaid within 60 days of quantifying the amount.
 - a) True
 - b) False
9. Behavioral health providers can never share records of individuals served without permission.
 - a) True
 - b) False
10. Protected Health Information (PHI) can never be shared by encrypted email.
 - a) True
 - b) False

11. Under the minimum necessary rule, if your agency receives a request from a provider for an individual's most recent Treatment Plan (mental health only) to assist that provider in appropriately treating the individual, it is acceptable to send the provider the individual's entire record.
 - a) True
 - b) False

12. The following are health care regulations, except:
 - a) Health Information Technology for Economic and Clinical Health Act (HITECH)
 - b) 42 CFR Part 2
 - c) Family Educational Rights and Privacy Act (FERPA)
 - d) Health Insurance Portability and Accountability Act (HIPAA)
 - e) Michigan Mental Health Code

13. What concern should NOT be reported?
 - a) Inappropriate interactions between individuals served and staff
 - b) Billing for services not provided
 - c) Fired employee who is disgruntled with employer
 - d) Any irregular, suspicious, inaccurate or inconsistent activity
 - e) All the above concerns should be reported

14. Reporting Compliance Issues is:
 - a) Frowned upon
 - b) Optional
 - c) Required
 - d) Not a good idea

15. The State investigative unit which solicits, receives, and investigates complaints related to fraud, abuse and waste is?
 - a) Michigan Secretary of State
 - b) The Behavioral Health and Developmental Disabilities Administration
 - c) Department of Health and Human Services
 - d) Michigan Office of Inspector General (OIG)